

# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
5855-03-BHJTotal Pages in this Submission  
46**TO THE ASSISTANT COMMISSIONER FOR PATENTS**Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**BIOADHESIVE ANTIBACTERIAL WOUND HEALING COMPOSITION**

and invented by:

Sau-Hung Spence Leung; Alain Martin; Robert S. Leone

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/511,869

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Which is a:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Enclosed are:

**Application Elements**

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 26 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☐ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☐ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

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## Application Elements (Continued)

3. ☐ Drawing(s) (when necessary as prescribed by 35 USC 113)
- a. ☐ Formal Number of Sheets \_\_\_\_\_
- b. ☐ Informal Number of Sheets \_\_\_\_\_
4. ☒ Oath or Declaration
- a. ☐ Newly executed (original or copy) ☐ Unexecuted
- b. ☒ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation By Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under  
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.
6. ☐ Computer Program in Microfiche (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy (identical to computer copy)
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

## Accompanying Application Parts

8. ☒ Assignment Papers (cover sheet & document(s)) 9/26/99; Reel/Frame: 9803/0901  
Copy with Notice of Recordation dated
9. ☐ 37 CFR 3.73(B) Statement (when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail (Specify Label No.): EL819324861 US

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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**Fee Calculation and Transmittal**

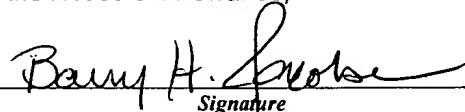
**CLAIMS AS FILED**

| For  | #Filed | #Allowed | #Extra | Rate      | Fee      |
|--|--------|----------|--------|-----------|----------|
| Total Claims   | 1      | - 20 =   | 0      | x \$18.00 | \$0.00   |
| Indep. Claims  | 1      | - 3 =    | 0      | x \$84.00 | \$0.00   |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> |        |          |        |           | \$0.00   |
| BASIC FEE  |        |          |        |           | \$740.00 |
| OTHER FEE (specify purpose)  |        |          |        |           | \$0.00   |
| TOTAL FILING FEE   |        |          |        |           | \$740.00 |

- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$740.00 as filing fee.
  - ☒ Credit any overpayment.
  - ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
  - ☒ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated:

10/16/01

  
Signature

Barry H. Jacobsen, Counsel, Patents  
Reg. No. 43,689  
Warnr-Lambert Company  
201 Tabor Rd  
Morris Plains, NJ 07950  
973 385 7072  
973 385 3117

CC:

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Sau-Hung Spence Leung et al.

Docket No.

5855-03-BHJ

Serial No.

Filing Date

October 17, 2001

Examiner

Group Art Unit

Invention: **BIOADHESIVE ANTIBACTERIAL WOUND HEALING COMPOSITION**

I hereby certify that the following correspondence:

Utility Patent Application Transmittal; Specification; Preliminary Amendment; Oath & Declaration; Assignment;  
IDS*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under  
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

October 16, 2001*(Date)*Mary M. Helmken*(Typed or Printed Name of Person Mailing Correspondence)*  
*(Signature of Person Mailing Correspondence)*EL819324861US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

61

# GAU 1614

082504

**PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)**  
**(Large Entity)**

Docket No.  
**5855/C1-03-BHJ**

In Re Application Of: **Sau-Hung Spence Leung, et al**

| Application No. | Filing Date      | Examiner      | Customer No. | Group Art Unit | Confirmation No. |
|-----------------|------------------|---------------|--------------|----------------|------------------|
| 09/978,152      | October 16, 2001 | K. Weddington | 29668        | 1614           | 4192             |

Invention: **BIOADHESIVE ANTIBACTERIAL WOUND HEALING COMPOSITION**

COMMISSIONER FOR PATENTS:

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the Office Action of February 25, 2004 above-identified application.  
*Date*

The requested extension is as follows (check time period desired):

☐ One month      ☐ Two months      ☒ Three months      ☐ Four months      ☐ Five months  
from: May 25, 2004      until: August 25, 2004  
*Date*      *Date*

The fee for the extension of time is **\$950** and is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **23-0455**
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **23-0455**
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

  
*Signature*

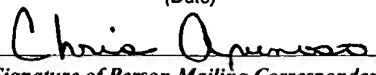

Dated: 8/25/04

**Barry H. Jacobsen**  
**Reg. No. 43,689**  
**Warner-Lambert LLC**  
**201 Tabor Rd**  
**Morris Plains, NJ 07950**  
**Phone 973 385 7072**  
**Fax 973 385 3117**

08/27/2004 HBIZUNES 00000035 230455 09978152

01 C:1253 950.00 DA

cc:

|  |  |
|--|--|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1. (a)] on |  |
| (Date)   |  |
|   |  |
| Signature of Person Mailing Correspondence   |  |
|    |  |
| Typed or Printed Name of Person Mailing Correspondence   |  |